Field Guide to

Solid State Physics

Marek S. Wartak C.Y. Fong

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In 2004, SPIE launched a new book series, the SPIE Field Guides, focused on SPIE's core areas of Optics and Photonics. The idea of these Field Guides is to give concise presentations of the key subtopics of a subject area or discipline, typically covering each subtopic on a single page, using the figures, equations, and brief explanations that summarize the key concepts. The aim is to give readers a handy desk or portable reference that provides basic, essential information about principles, techniques, or phenomena, including definitions and descriptions, key equations, illustrations, application examples, design considerations, and additional resources.

The series has grown to an extensive collection that covers a range of topics from broad fundamental ones to more specialized areas. Community response to the SPIE Field Guides has been exceptional. The concise and easy-to-use format has made these small-format, spiral-bound books essential references for students and researchers. I have been told by some readers that they take their favorite Field Guide with them wherever they go.

We are now pleased and excited to extend the SPIE Field Guides into subjects in general Physics. Each Field Guide will be written to address a core undergraduate Physics topic, or in some cases presented at a first-year graduate level. The Field Guides are not teaching texts, but rather references that condense the textbooks and course notes into the fundamental equations and explanations needed on a routine basis. We truly hope that you enjoy using the Field Guides to Physics.

We are interested in your suggestions for new Field Guide topics as well as what could be added to an individual volume to make these Field Guides more useful to you. Please contact us at **fieldguides@SPIE.org**.

John E. Greivenkamp, Series Editor College of Optical Sciences The University of Arizona

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Field Guide to Solid State Physics

Solid state physics is a branch of physics that deals primarily with the physical properties of periodic condensed matters, especially the electromagnetic, thermodynamic, and structural properties of various systems, such as semiconductors, quantum structures, and superconductors; these properties are the consequences of solids interacting with light and under external fields, etc.

The Field Guide to Solid State Physics provides a compact introduction of select topics within the field of condensed-matter physics. For students and engineers alike, the book facilitates an in-depth understanding of physical concepts, as well as their applications, to help them develop new ideas for innovative devices. The topics chosen were influenced by our own areas of interest: single-particle and many-body interactions in the form of quasi-particle and collective excitations. Whenever possible, simple line art illustrates the essential concepts.

Over the last few decades, we have witnessed the significant (and increasing) effect of solid state physics on everyday life. The field is essential for the development of state-of-the-art concepts because it provides effective guidance for designing circuits and new materials for electronic and spintronic devices (it contributed to both the transistor and the semiconductor chip). Over the last ten years, more than half of the Nobel Prizes in physics were awarded to topics relevant to solid state physics.

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Glossary of Symbols

0D Zero-dimensional 1D One-dimensional 2D Two dimensional 3D Three-dimensional A Vector potential

 $\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3$ Vectors defining unit cell

B Magnetic field

 $\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3$ Vectors defining reciprocal lattice

bcc Body-centered cubic

BCS Bardeen-Cooper-Schrieffer BJT Bipolar junction transistor

BL Bravais lattice
BZ Brillouin zone
CB Conduction band
CB Coulomb blockade

CBM Conduction band minimum c_V Specific heat at constant volume

 D_n Diffusion coefficient DFT Density functional theory dHvA de Haas—van Alphen DOS Density of states E Electric field E_c Charging energy E_F Fermi energy

 $egin{array}{ll} E_g & & ext{Bandgap energy} \\ F & & ext{Free energy} \end{array}$

 $f_{FD}(\varepsilon)$ Fermi-Dirac distribution function

fcc Face-centered cubic

FD Fermi–Dirac

FQHE Fractional quantum Hall effect

FS Fermi surface
GaAs Galium arsenide
Ge Germanium
GL Ginzburg-Landau

H Hamiltonian

hcp Hexagonal close-packed

HRs Hund's rules I[f] Collision integral

J Total angular momentum

Glossary of Symbols

k Wavevector

K Reciprocal lattice vector

KS Kohn-Sham

L Total orbital momentum
LDA Local density approximation

LED Light-emitting diode

M Magnetization m^* Effective mass

MBE Molecular beam epitaxy
MOS Metal-oxide-semiconductor

MOSFET Metal-oxide-semiconductor field effect

transistor

MRI Magnetic resonance imaging

n Density of electrons

 N_A Concentrations of acceptors N_D Concentrations of donors n_i Intrinsic concentration $n_{\mathbf{q}}(\mathbf{r},t)$ Local density of phonons NMR Nuclear magnetic resonance

 $egin{array}{lll} {
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rf-SQUID Radio-frequency superconducting quantum

interference device

RKKY Ruderman-Kittel-Kasuya-Yosida

RL Reciprocal lattice

S Spin angular momentum operator

SET Single-electron transistor

Si Silicon

 $egin{array}{ll} {
m SOI} & {
m Silicon\mbox{-}on\mbox{-}isolator} \\ {
m T} & {
m Absolute\ temperature} \\ \end{array}$

 T_1 Longitudinal relaxation time T_2 Transverse relaxation time

 T_C Critical temperature

TB Tight binding

 $u_{\mathbf{k},n}(\mathbf{r})$ Periodic part of the Bloch function

 $V(\mathbf{r})$ Periodic potential (h,k,l) Miller indices \hbar Dirac constant

WS

Glossary of Symbols

Order parameter Δ Electrical permittivity $\varepsilon(\omega)$ Wavelength Magnetic moment μ Bohr magneton μ_B Mobility μ_n Density of states $\rho(E)$ Electrical conductivity σ Average time between collisions Τ Flux quantum ϕ_0 Magnetic flux Φ Magnetic susceptibility χ Wave function Ψ Bloch function $\psi_{\mathbf{k},n}(\mathbf{r})$ Frequency ω Cyclotron frequency ω_c Plasma frequency ω_p Volume of unit cell in real space Ω Volume of the primitive cell in the reciprocal $\Omega_{\mathbf{K}}$ lattice QD Quantum dot Quantum point contact QPC Valence band VBVBM Valence band maximum VLSI Very-large-scale integration

Wigner-Seitz